

## EXPRESS MAIL LABEL NO. EV 334 000 674 US

<b>INFORMATION DISCLOSURE STATEMENT</b>  Address to: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	AERX-062CON3
	First Named Inventor	SCHUSTER, JEFFREY A.
	Application Number	To Be Assigned
	Confirmation No.	To Be Assigned
	Filing Date	Herewith
	Group Art Unit	To Be Assigned
	Examiner Name	To Be Assigned
Title: "TEMPERATURE CONTROLLING DEVICE FOR AEROSOL DRUG DELIVERY"		

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. Copies of Forms PTO-1449 and 892 listing the references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

**All of the references identified herein were disclosed in parent application serial number 09/839,248, filed 4/20/2001 and as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).**

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. § 102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. AERX-062CON3 may be charged thereon.

Respectfully submitted,  
 BOZICEVIC, FIELD & FRANCIS LLP

Date: 11/July/03

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>	
			Application Number	09/839,248
			Filing Date	April 20, 2001
			First Named Inventor	Jeffrey A. Schuster et al.
			Group Art Unit	3761
Sheet 1 of 2	Examiner Name	To Be Assigned	Attorney Docket Number	AERX062CON2

U.S. PATENT DOCUMENTS						
Examin er Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Documents		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	3,933,276		Packham et al.	01/29/1976	
	AB	4,587,966		Albarda	05/13/1986	
	AC	4,653,494		Ruderian	03/31/1987	
	AD	4,922,901		Brooks et al.	05/08/1990	
	AE	4,947,875		Brooks et al.	08/14/1990	
	AF	5,038,769		Krauser	08/13/1991	
	AG	5,497,763		Lloyd et al.	03/12/1996	
	AH	5,522,385		Lloyd et al.	06/04/1996	
	AI	5,660,166		Lloyd et al.	08/26/1997	
	AJ	5,665,262		Hajaligol et al.	09/09/1997	
	AK	5,672,581		Rubsamen et al.	09/30/1997	
	AL	5,709,202		Lloyd et al.	01/20/1998	
	AM	5,718,222		Lloyd et al.	02/17/1998	

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Documents			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AN	Bates, David V., et al., (1966) "Deposition and Retention Models for Internal Dosimetry of the Human Respiratory Tract", <i>Health Physics</i> , Vol. 12:173-207.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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		Group Art Unit	3761		
Examiner Name	To Be Assigned				
Sheet	2	of	2	Attorney Docket Number	AERX062CON2

AO	Byron, Peter R., (1986) "Prediction of Drug Residence Times in Regions of the Human Respiratory Tract Following Aerosol Inhalation", <i>J. of Pharm. Sciences</i> , Vol. 75(5):433-438.	
AP	Farr, Stephen J., et al., (1996) "AERx-Development of a Novel Liquid Aerosol Delivery System Concept to Clinic", <i>Respiratory Drug Delivery V</i> , pp. 175-185.	
AQ	Ferron et al. (1988) "Inhalation of Salt Aerosol Particles – II. Growth and Deposition in the Human Respiratory Tract." <i>J. Aerosol Sci.</i> , Vol. 19(5):611-631.	
AR	Hickey, A.J., et al., (1990) "Effect of Hydrophobic Coating on the Behavior of a Hygroscopic Aerosol Powder in an Environment of Controlled Temperature and Relative Humidity", <i>J. of Pharm. Sciences</i> , Vol. 79(11):1009-1014.	
AS	Morrow et al. (1966) "Deposition and retention models for internal dosimetry of the human respiratory tract. Task group on lung dynamics." <i>Health Phys.</i> , Vol. 12(2):173-207.	
AT	Phipps, Paul R., et al., (1990) "Droplets Produced by Medical Nebulizers: Some Factors Affecting Their Size and Solute Concentration" <i>Chest</i> , Vol. 97:1327-1332	
AU	Stahlhofen et al. (1980) "Experimental determination of the regional deposition of aerosol particles in the human respiratory tract." <i>Am Ind Hyg Assoc J.</i> , Vol. 41(6):385-98a.	

Examiner Signature		Date Considered	
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**Notice of References Cited**

Application/Control No.

09/839,248

Applicant(s)/Patent Under  
Reexamination  
SCHUSTER ET AL.

Examiner

Lalita M Hamilton

Art Unit

3764

Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5246018	09-1993	Deevi et al	
	B	US-4911157	03-1990	Miller	
	C	US-4106503	08-1978	Rosenthal et al	
	D	US-5249586	10-1993	Morgan et al	
	E	US-3949743	04-1976	Shanbrom	
	F	US-4682010	07-1987	Drapeau et al	
	G	US-3872280	03-1975	Van Dalen	
	H	US-4735217	04-1988	Gerth et al	
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.